

Shareholder Services Check-UpSM

Expert analysis of a company's stock transfer services agreement and recent invoices, to ensure pricing and contract provisions are in line with today's competitive environment. This precludes the cumbersome RFP process to achieve desired due diligence on this key function. Substantial cost savings are typically found, with no change in – or stress on – the company's transfer agency relationship. The analysis includes an update on the ever-changing stock transfer industry, and how the agent for the company – and the company itself – fit within it.

Requests for Proposal

Various levels of assistance with a stock transfer RFP, if the company chooses this approach over the Shareholder Services Check-UpSM. We can edit the company's own RFP, produce one *de novo* embracing the company's particular needs, analyze and comment on proposals received from transfer agents, prepare the company for on-site visits, help the company select the winning bidder, support negotiation of the new agent's contract, prepare the company for issues relating to a shareholder records conversion – or any combination of these services.

IPO Transfer Agent Search

We take the mystery and distraction of a transfer agent selection away from senior management, while it focuses on the IPO itself. At the same time, we will make your transfer agent choice more "scientific" than simply having your law firm tell you whom to use. We know *all* the transfer agents, and will facilitate your receipt of competitive proposals from candidates who are just right for your company. Moreover, we will decipher proposal language so the choice you make is after a truly "apples to apples" comparison.

Other Contract Negotiations

We can also help negotiate service contracts that are "collateral to" stock transfer, like proxy services, corporate actions, escheatment and stock purchase plans. We can either be an insider advisor, or serve as your direct interface with the service provider on a turn-key basis.